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TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mel Epstein and Kjesten A. Wiig  
Application No.: 10/003,740 Group Art Unit: 1614  
Filed: October 31, 2001 Examiner: B. Kwon  
Confirmation No.: 2709  
For: Methods and Compositions for Regulating Memory Consolidation

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202

on

3-4-03

Date

Signature

JANE MORGAN

Typed or printed name of person signing certificate

SUBMISSION OF ADDITIONAL FEES DUE; REQUEST FOR CORRECTED  
FILING RECEIPT; AND REQUEST FOR CHANGE OF DOCKET NUMBER

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

A Notice to File Missing Parts of Nonprovisional Application was mailed from the U.S. Patent and Trademark Office on January 3, 2002. A Reply to Notice to File Missing Parts was mailed to the U.S. Patent and Trademark Office on January 31, 2002, with authorization to charge fees totaling \$2513.00 to the Deposit Account of the previous Attorney of record. The correct total claim count is 233 claims, not 184 claims as paid in the Reply. Therefore, there is a deficiency of \$483.00 in filing fees.



## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

SUBSTITUTE  
FEE TRANSMITTAL FOR  
PATENT APPLICATIONS

Attorney Docket Number

3474. 1001-001 (NIIN-P01-011 )

Application Number

10/003,740

First Named Inventor

Mel Epstein

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	233 - 20* =	213	x \$ 18 =	\$ 3834
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	15 - 3** =	12	x \$ 84 =	\$ 1008
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 280 =	\$ 280
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 740
				Total of above Calculations =	\$ 5862
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ yes
	TOTAL =				\$ 2931
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 65
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	TOTAL =				\$ 2996
	LESS: Fee submitted with Notice to File Missing Parts received at PTO on Feb. 12, 2002				-\$ 2513
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL DUE=	\$ 483

## 1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

03/14/2003 EHAILE1 00000086 080380 10003740

3. ☐ A check is enclosed for \$[ ]. 01 [FC:2202] Please charge \$483 to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature

Date

March 4, 2003

Submitted by  
Typed or Printed Name

Mary K. Murray

Reg. Number

47,813

Applicants' current Attorney is submitting herewith a Substitute Fee Transmittal for Patent Applications indicating the correct number of total claims and the \$483.00 deficiency in fees. If the \$483.00 deficiency is outstanding, please charge the \$483.00 deficiency to Deposit Account No. 08-0380. An additional copy of the Substitute Fee Transmittal for Patent Applications is enclosed for accounting purposes.

Applicants' Attorney also hereby requests that the following items of information be corrected in the Updated Filing Receipt for the subject application mailed March 12, 2002.

In the FIL FEE REC'D Section, please delete "2951" and insert --- 2996 --- .

In the TOT CLAIMS Section, please delete "90" and insert --- 233 ---.

In the ATTY DOCKET NO. Section, please delete "NIIN-P01-011" and insert  
--- 3474.1001.001 ---.

In the Correspondence Section, please delete:

"28120  
ROPES & GRAY  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110-2624"

and insert:

---021005  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
530 VIRGINIA ROAD  
P.O. BOX 9133  
CONCORD, MA 01742-9133---

A Revocation of Powers of Attorney and Appointment of New Attorneys and/or Agents, mailed to the U.S. Patent and Trademark Office on November 11, 2002, noted the Change in Customer Number and Correspondence. A copy of the Revocation of Powers of Attorney and Appointment of New Attorneys and/or Agents is enclosed herewith.

Please also find enclosed a copy of the Filing Receipt with changes noted in red.

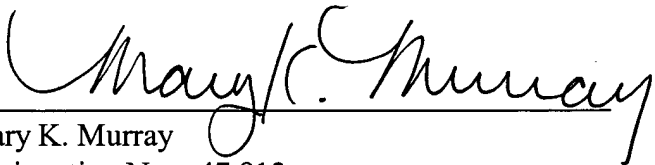
Pursuant to instructions in the February 29, 2000 O.G., Applicants hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Applicants' Attorney further requests that the docket number of record for the above-referenced case be changed to 3474.1001.001 as noted above. This request is made to better identify this application within our offices.

Please charge Deposit Account No. 08-0380 for any additional fees that may be due in this matter. One additional copy of this document is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
Mary K. Murray  
Registration No. 47,813  
Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: *March 4, 2003*



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
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10/003,740

10/31/2001

0164E

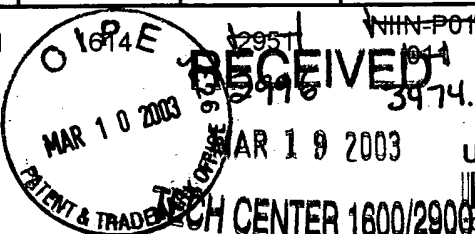
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3474. 1001-001

233

CONFIRMATION NO. 2709

UPDATED FILING RECEIPT



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281201  
ROPER & GRAY  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110-2624

021005

HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

530 VIRGINIA ROAD

P.O. BOX 9133

CONCORD, MA 01742-9133

Date Mailed: 03/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Mel Epstein, Bristol, RI;  
Kjesten A. Wiig, Providence, RI;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/245,323 11/01/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/03/2002

Projected Publication Date: 06/20/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Methods and compositions for regulating memory consolidation

## Preliminary Class

514

R&amp;G

Docket No.: N1/N-POI-001

Action: Filing Receipt/Assignment

Stat. Deadline: 3 Apr 02

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).